

REC'D IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant(s): Yasmin Thanavala et al.

Serial No.: 09/420,695

Filed: October 19, 1999

- (1) Statement in Compliance with 37 CFR 1.63 submitting Declarations (2) attached to copy of specifications;
- (1) Claim for Small Entity Status;
- (3) Verified Statements Claiming Small Entity Status;
- (1) Check in the amount of \$65.00;
- (1) Transmittal Letter (in duplicate); and
- (1) Postcard for acknowledgment.



Our Ref: RPP:156AUS

DUNN AND ASSOCIATES
P. O. BOX 96
NEWFANE, NY 14108

3737

10-2/220

PAY
TO THE
ORDER OF

The Commissioner of Patents and Trademarks

DATE Jan. 12, 2000

\$ 65.00

Sixty-five and 00/100--

DOLLARS

Security features
Included
Details on back.



HSBC Bank USA Wilson, NY 14172

FOR 09/420,695 RPP:156aUS Thanavala et al.


MP

REC'D IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant(s): Yasmin Thanavala et al.

Serial No.: 09/420,695

Filed: October 19, 1999

- (1) Statement in Compliance with 37 CFR 1.63 submitting Declarations (2) attached to copy of specifications;
- (1) Claim for Small Entity Status;
- (3) Verified Statements Claiming Small Entity Status;
- (1) Check in the amount of \$65.00;
- (1) Transmittal Letter (in duplicate); and
- (1) Postcard for acknowledgment.

Our Ref: RPP:156AUS

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/420,695	10/19/99	1651	\$380.00	RPP:156A-US	0	20	3

DUNN & ASSOCIATES
P O BOX 96
NEWFANE NY 14108

NOV 18

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) YASMIN THANAVALA, WILLIAMSVILLE, NY; CHARLES JOEL ARNTZEN, ITHACA, NY.

CONTINUING DATA AS CLAIMED BY APPLICANT-
THIS APPLN IS A CIP OF 09/418,177 10/13/99

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 11/09/99 ** SMALL ENTITY **
TITLE
ORAL IMMUNOLOGY USING PLANT PRODUCT CONTAINING HEPATITIS SURFACE ANTIGEN

PRELIMINARY CLASS: 424

DATA ENTRY BY: MIDDLETON, MATTIE TEAM: 04 DATE: 11/09/99



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Yasmin Thanavala et al.

Art Unit: 1651

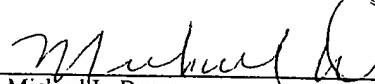
Serial No: 09/420,695

Filed: October 19, 1999

Examiner: Unknown

For: ORAL IMMUNOLOGY
USING PLANT PRODUCT
CONTAINING HEPATITIS
SURFACE ANTIGEN

I certify that this STATEMENT is being deposited on January
12, 2000 with the U.S. Postal Service as first class mail addressed
to the Assistant Commissioner for Patents, Washington, D.C. 20231


Michael L. Dunn

Registration No. 25,330

STATEMENT IN COMPLIANCE WITH 37 CFR 1.63Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

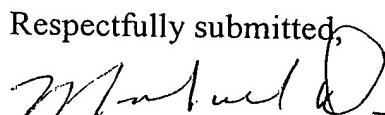
In response to the Notice to File Missing Parts of Application mailed November 12, 1999 (copy attached), this is to certify that the specification attached to the enclosed Declaration is a copy of the same specification filed in the Office on October 19, 1999, in order to obtain a filing date.

The \$65.00 surcharge is enclosed for late filing of the Declaration.

This Statement is being made in conformity with the Commissioner's Notice published in 1035 TMOG 2 (October 4, 1983).

Dated: January 12, 2000

Respectfully submitted,



Michael L. Dunn
Attorney for Applicant(s)
Reg. No. 25,330
P.O. Box 96
Newfane, New York 14108
Telephone: (716) 433-1661

MLD/tsm

TRANSMITTAL LETTER			Attorney Docket No: RPP:156A US
Applicant(s): Yasmin Thanavala et al.			
Serial No: 09/420,695	Filing Date: October 19, 1999	Examiner: Unknown	Art Unit: 1651
Invention: ORAL IMMUNOLOGY USING PLANT PRODUCT CONTAINING HEPATITIS SURFACE ANTIGEN			

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:

Transmitted herewith is:

- Statement in Compliance with 37 CFR 1.63 submitting two (2) Declarations attached to copies of specification with \$65.00 surcharge.
- Claim for Small Entity Status CFR 1.9 (f) and 1.27 (b) and (d).

IN THE ABOVE IDENTIFIED APPLICATION.

- No additional fee is required.
- A check in the amount of \$ 65.00 is attached to cover above fees.
- Charge \$ _____ to Deposit Account No. _____
A duplicate copy of this sheet is enclosed.
- Please charge any additional fees which may be due with respect to the accompanying papers, including those which may be due under 37 C.F.R. 1.16, 1.17, 1.18 and 1.20, or credit any overpayment to Deposit Account No. 04-1790.
A duplicate copy of this sheet is enclosed.

Dated: January 12, 2000

Respectfully submitted,



Michael L. Dunn
For Applicant(s)
Registration No. 25,330
P.O. Box 96
Newfane, New York 14108
Telephone: (716) 433-1661

MLD/tsm